



ACADEMIC AFFAIRS POLICY

NUMBER/TITLE: AA-111.2, ANIMAL CARE AND USE

Covered Individuals: All employees

Covered Locations: All Locations

Effective Date: July 1, 2024

Strategic Priority: SP

HLC: Criterion

Consultations: VPASA, Academic Deans, VPEMF, Registrar, Director of FA, Faculty (Academic Affairs Committee)

POLICY STATEMENT

The transportation, care, and use of animals should be in accordance with the Animal Welfare Act, as well as other applicable federal and state laws, guidelines, and policies, some of which are listed in the references section below.

PURPOSE

An approved Animal Use Protocol (AUP) is required for the use of live vertebrate animals for the purpose of teaching, research or training. The purpose of this policy is to ensure compliance by Upper Iowa University (UIU) with guidelines set forth in the external links in the references section regarding the humane care and use of animals.

DEFINITIONS

Vertebrate Animals: animals having a bony or cartilaginous skeleton with a segmented spinal column and a large brain enclosed in a skull or cranium.

POLICY IMPLEMENTATION

1. Procedures not involving vertebrate animals will be explored whenever feasible.
2. Procedures involving vertebrate animals will be designed to minimize stress, pain and suffering. Procedures ending in the euthanasia of vertebrate animals will be designed to produce an immediate death that minimizes pain and suffering.
3. The living conditions of vertebrate animals will be appropriate for their species and contribute to their health and comfort.
4. This policy establishes the Institutional Animal Care and Use Committee (IACUC) as a University committee. The IACUC will consist of at least five members but at a minimum three UIU faculty members, one individual from outside the university whose primary concerns are in a nonscientific area (e.g. a lawyer or a member of the clergy), and a veterinarian. Faculty members are chosen to serve through the procedure for seating faculty members on university-level committees. Faculty members chosen to serve are responsible for ensuring that the positions not affiliated with the University are filled and for electing a Chair. The Chair will be appropriately qualified and experienced in conducting research and procedures on living vertebrate animals.
5. University personnel who intend to house living vertebrate animals or who desire to use living vertebrates for teaching or research will submit a plan to the IACUC and receive approval prior to

housing the animals or commencing such use. The IACUC shall review all plans semiannually (August and February) or on an as-needed basis. Committee members will recuse themselves from discussions regarding proposals they have submitted. To submit a plan, complete the appropriate form at either of the links below and submit it to iacuc@uiu.edu.

- When the referenced documents are silent on a particular use of animals, the IACUC relies on published guidelines of professional societies for guidance to determine if the proposed use is humane and appropriate.

CUSTODIAN

Vice President for Academic and Student Affairs

The IACUC is responsible for answering questions regarding the application of this policy. The policy itself and any subsequent revisions to the policy have been and will be vetted by the appropriate faculty governance processes prior to approval by the President’s Council.

RELATED DOCUMENTS, FORMS, AND POLICIES

AA-111.2.A, Plan for Housing Living Vertebrate Animals Supplemental Form

AA-111.2.B, Plan for Using Living Vertebrates for Teaching or Research Supplemental Form

- United States Government Principles for the Utilization and Care of Vertebrate Animals Used in Testing, Research, and Training (<https://olaw.nih.gov/resources/tutorial/relevant.htm#2b>)
- Regulations of the Animal Welfare Act as promulgated by the United States Department of Agriculture (<https://www.nal.usda.gov/awic/animal-welfare-act>)
- The United States Public Health Service (PHS) Policy on the Humane Care and Use of Laboratory Animals (<https://olaw.nih.gov/policies-laws/phs-policy.htm>)
- The National Academy of Sciences “Guide for the Care and Use of Laboratory Animals” (<https://www.nap.edu/read/12910/chapter/1>)
- The Federation of Animal Science Societies “Guide for the Care and Use of Agricultural Animals in Research and Teaching” (FASS Guide)

HISTORY

New/Revision Number	Date of Action/Approval	Revision Change
AA-111.2	May 15, 2024	Developed policy statement for new template; other minor updates.
AA-111.2	February 15, 2023	Policy put into new template.
111	December 19, 2018	Policy approved by President’s Council.
111	December 17, 2018	Policy recommended by University Policy Committee.
111	November 14, 2018	Policy approved by Faculty Senate.
111	October 16, 2018	Policy approved by School of Science and Mathematics and School of Liberal Arts.